

## YAMABE SOLITONS IN CONTACT GEOMETRY

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**Abstract.** It is shown that the scalar curvature of a Yamabe soliton as a Sasakian manifold is constant and the soliton vector field is Killing. The same conclusion is shown to hold for a Yamabe soliton as a  $K$ -contact manifold  $M^{2n+1}$  if any one of the following conditions hold: (i) its scalar curvature is constant along the soliton vector field  $V$ , (ii)  $V$  is an eigenvector of the Ricci operator with eigenvalue  $2n$ , (iii)  $V$  is gradient.

### 1. Introduction

The evolution of a Riemannian metric  $g$  on a smooth manifold  $M$  to a metric  $g(t)$  in time  $t$  through the equation

$$\frac{\partial}{\partial t}g(t) = -r(t)g(t), \quad g(0) = g,$$

where  $r(t)$  denotes the scalar curvature of  $g(t)$ , is called the Yamabe flow, and was introduced by Hamilton [8]. The Yamabe flow is a natural geometric deformation to metrics of constant scalar curvature, and corresponds to the fast diffusion case of the porous medium equation (the plasma equation) in mathematical physics (Burchard et al. [3]). Just as a Ricci soliton is a special solution of the Ricci flow, a Yamabe soliton is a special solution of the Yamabe flow that moves by a one parameter family of diffeomorphisms  $\phi_t$  generated by a time-dependent vector field  $W_t$  on  $M$ , and homotheties, i.e.,  $g(t) = \sigma(t)\phi_t^*g$ , where  $\sigma$  is a positive real valued function of the parameter  $t$ . Substituting the foregoing equation in the Yamabe flow equation and setting  $\sigma(0) = 1$ ,  $-\dot{\sigma}(0) = c$  gives the equation

$$\mathcal{L}_V g = 2(c - r)g, \tag{1.1}$$

where  $g$  is the initial metric  $g(0)$  of the Yamabe flow,  $V$  is a vector field on  $M$  such that  $W_t = \frac{1}{2\sigma(t)}V$ ,  $\mathcal{L}$  the Lie-derivative operator,  $r$  the scalar curvature of  $g$ , and  $c$  is a real constant defined earlier. The Riemannian manifold  $(M, g)$  with a vector field  $V$  and a constant  $c$ , satisfying the equation (1.1) is called a Yamabe soliton. The Yamabe soliton is said to be shrinking, steady, or expanding when  $c > 0$ ,  $c = 0$ , or  $c < 0$  respectively. In particular, if  $V = Df$  (up to the addition of a Killing vector field) for a smooth function  $f$ , where  $D$  denotes the gradient operator of

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$g$ , then a Yamabe soliton is called a gradient Yamabe soliton in which case (1.1) assumes the form

$$\nabla\nabla f = (c - r)g. \quad (1.2)$$

The gradient Yamabe soliton is trivial when  $f$  is constant and  $r$  is constant. In [6], Daskalopoulos and Sesum showed that a compact gradient Yamabe soliton has constant scalar curvature (i.e., its metric is a Yamabe metric). Chen and Deshmukh [5] obtained some sufficient conditions on Yamabe solitons to be of constant scalar curvature. Ghosh [7] has shown that a Yamabe soliton on a Kenmotsu manifold has constant scalar curvature. In [15], Sharma studied Yamabe solitons in contact Riemannian geometry, and proved that a 3-dimensional Yamabe soliton whose metric is Sasakian has constant scalar curvature and the soliton vector field  $V$  is Killing. In this paper, we generalize this result in any dimension in the form of the following result.

**Theorem 1.1.** Let  $(M, g)$  be a Yamabe soliton with soliton vector field  $V$ . If  $g$  is a Sasakian metric, then it has constant scalar curvature and  $V$  is Killing.

Furthermore, we show that the same conclusion (as in the above theorem) holds on a  $(2n + 1)$ -dimensional  $K$ -contact manifold  $M$  (a generalization of Sasakian manifold) under certain conditions specified in the following result. More precisely, we establish

**Theorem 1.2.** Let  $(M, g)$  be a  $(2n + 1)$ -dimensional Yamabe soliton with soliton vector field  $V$ , and  $g$  be a  $K$ -contact metric. Then its scalar curvature is constant and  $V$  is Killing, if any one of the following conditions hold:

- (i) The scalar curvature is constant along  $V$ .
- (ii)  $V$  is an eigenvector of the Ricci operator with eigenvalue  $2n$ .
- (iii)  $V$  is gradient.

In case (iii), the Yamabe soliton becomes trivial (i.e.,  $f$  is constant).

**Remarks.**

1. An odd dimensional analogue of Kaehler geometry is the Sasakian geometry. The Kaehler cone over a Sasakian Einstein manifold is a Calabi-Yau manifold which has application in superstring theory based on a 10-dimensional manifold that is the product of the 4-dimensional space-time and a 6-dimensional Ricci-flat Kaehler (Calabi-Yau) manifold (see Candelas et al. [4]). Sasakian geometry has been extensively studied since its recently perceived relevance in string theory. Sasakian Einstein metrics have received a lot of attention in physics, for example,  $p$ -brane solutions in superstring theory, Maldacena conjecture (AdS/CFS duality) [11].

2. The condition (ii) in Theorem 1.2 is motivated by the fact that, if we take  $V$  as the Reeb vector field  $\xi$ , then the Yamabe soliton equation (1.1) implies  $r = c$  because  $\xi$  is Killing for a  $K$ -contact metric.

## 2. A Brief Review Of Contact Geometry

A  $(2n + 1)$ -dimensional smooth manifold  $M$  is said to be contact if it has a global 1-form  $\eta$  such that  $\eta \wedge (d\eta)^n \neq 0$  on  $M$ . For a contact 1-form  $\eta$  there exists a unique vector field  $\xi$  (Reeb vector field) such that  $d\eta(\xi, \cdot) = 0$  and  $\eta(\xi) = 1$ . Henceforth  $X, Y, Z$  will denote arbitrary vector field on  $M$ . Polarizing  $d\eta$  on the

contact subbundle  $\eta = 0$ , we obtain a Riemannian metric  $g$  and a (1,1)-tensor field  $\varphi$  such that

$$d\eta(X, Y) = g(X, \varphi Y), \quad \eta(X) = g(X, \xi), \quad \varphi^2 = -I + \eta \otimes \xi \quad (2.1)$$

$g$  is called an associated metric of  $\eta$  and  $(\varphi, \eta, \xi, g)$  a contact metric structure. The name contact seems to be due to Sophus Lie [10] and is natural in view of the simple example of Huygens' principle (cited in Blair [2]). Tangent wave fronts are mapped to tangent wave fronts through a contact transformation. According to Gibbs, the geometrical structure of thermodynamics is described by a contact manifold equipped with a contact form whose zeros define the laws of thermodynamics (Arnold [1]).

A contact metric structure is said to be  $K$ -contact if  $\xi$  is Killing with respect to  $g$ . The following formulas are valid on a  $K$ -contact manifold.

$$\nabla_X \xi = -\varphi X, \quad (2.2)$$

$$Ric(X, \xi) = 2ng(X, \xi), \text{ i.e., } Q\xi = 2n\xi, \quad (2.3)$$

$$R(X, \xi)\xi = X - \eta(X)\xi, \quad (2.4)$$

where  $\nabla$ ,  $R$ ,  $Ric$  and  $Q$  denote respectively, the Riemannian connection, curvature tensor, Ricci tensor and Ricci operator of  $g$ . A contact metric manifold  $(M, g)$  is said to be Sasakian if the almost Kaehler structure on the cone manifold  $(M \times R^+, r^2g + dr^2)$  over  $M$  is Kaehler. Sasakian manifolds are  $K$ -contact and the converse is true only in dimension 3. For a Sasakian manifold, the following formula holds:

$$R(X, Y)\xi = \eta(Y)X - \eta(X)Y.$$

For details, we refer to the standard monograph of Blair [2].

It is evident from the defining equation (1.1) of a Yamabe soliton, that the associated vector field  $V$  is a conformal vector field with conformal scale function  $2(c-r)$ . A vector field  $V$  on an  $m$ -dimensional Riemannian manifold  $(M, g)$  is said to be a conformal vector field if

$$\mathcal{L}_V g = 2\rho g \quad (2.5)$$

for a smooth function  $\rho$  on  $M$ . Denoting the gradient vector field of  $\rho$  by  $D\rho$ , the Laplacian  $-div.D\rho$  by  $\Delta\rho$ , and  $R(X, Y, Z) = [\nabla_X, \nabla_Y]Z - \nabla_{[X, Y]}Z$ , we have the following integrability conditions for the conformal vector field  $V$  (Yano [17]):

$$\begin{aligned} (\mathcal{L}_V R)(X, Y, Z) &= -(\nabla\nabla\rho)(Y, Z)X + (\nabla\nabla\rho)(X, Z)Y \\ &\quad - g(Y, Z)\nabla_X D\rho + g(X, Z)\nabla_Y D\rho \end{aligned} \quad (2.6)$$

$$(\mathcal{L}_V Ric)(X, Y) = -(m-2)(\nabla\nabla\rho)(X, Y) + (\Delta\rho)g(X, Y) \quad (2.7)$$

$$\mathcal{L}_V r = 2(m-1)\Delta\rho - 2r\rho. \quad (2.8)$$

### 3. Proofs of The Results

**Proof of Theorem 1.1** First, we note that this theorem was already proved in dimension 3 by Sharma [15]. So, we now prove it in higher dimensions. The crucial idea of the proof is based on the following results of Okumura [12] (Theorems 3.1 and 3.3): *A conformal vector field  $V$  (defined by (2.5) on a Sasakian manifold of dimension  $2n+1 > 3$  differs from  $-D\rho$  by a Killing vector field, i.e.,  $V = W - D\rho$*

where  $W$  is a Killing vector field on  $M$ , and the conformal scale function  $\rho$  satisfies the concircular equation:

$$\nabla\nabla\rho = -\rho g.$$

For a Yamabe soliton (1.1) we note that  $\rho = c - r$ , and therefore the above equation can be expressed as

$$\nabla_X Dr = (c - r)X. \quad (3.1)$$

Computing  $R(X, Y)Dr = \nabla_X \nabla_Y Dr - \nabla_Y \nabla_X Dr - \nabla_{[X, Y]} Dr$  using the above equation we get

$$R(X, Y)Dr = (Yr)X - (Xr)Y.$$

Contracting it over  $X$  yields

$$QDr = 2nDr.$$

Differentiating it along an arbitrary vector field  $X$  and using equation (3.1) gives

$$(\nabla_X Q)Dr + (c - r)QX = 2n(c - r)X.$$

Contracting this equation over  $X$ , using (3.1) along with twice contracted Bianchi's second identity:  $\text{div}Q = \frac{1}{2}dr$ , we get

$$|Dr|^2 = 2(c - r)[2n(2n + 1) - r]. \quad (3.2)$$

On the other hand, we see from (3.1) that  $\nabla_X |Dr|^2 = 2(c - r)Xr$ . This, in conjunction with (3.2), yields

$$[2c - 3r + 2n(2n + 1)]Dr = 0. \quad (3.3)$$

So, either (i)  $Dr = 0$  on  $M$ , i.e.,  $r$  is constant on  $M$ , or (ii)  $Dr \neq 0$  on an open dense subset  $\mathcal{U}$  of  $M$ . In case (ii), equation (3.3) implies  $2c - 3r + 2n(2n + 1) = 0$ . Using it in equation (3.2) yields  $|Dr|^2 + 4(r - c)^2 = 0$ . Hence  $Dr = 0$  on  $\mathcal{U}$ , a contradiction. Thus,  $r$  is constant on  $M$ . Hence, from (3.1),  $r = c$ . Thus, from (1.1),  $V$  is Killing. This completes the proof.

**Proof of Theorem 1.2** Taking the Lie-derivative of the  $K$ -contact formula (2.3) along the Yamabe vector field  $V$  and using the integrability equation (2.7) with  $m = 2n + 1$ , we have

$$(\Delta\rho - 4n\rho)\xi - (2n - 1)\nabla_\xi D\rho + Q\mathcal{L}_V\xi - 2n\mathcal{L}_V\xi = 0. \quad (3.4)$$

At this point, we compute the term  $\nabla_\xi D\rho$  as follows. As  $\rho = c - r$ , this term is  $-\nabla_\xi Dr$ . To compute its value, we take the Lie-derivative of  $dr(X) = g(Dr, X)$  along  $\xi$  and noting that the Lie-derivative operator commutes with the exterior derivative operator, we find that  $(d\mathcal{L}_\xi r)(X) = g(\nabla_\xi Dr - \nabla_{Dr}\xi, X)$ . We note that  $\xi r = 0$  because, by definition,  $\xi$  is Killing for a  $K$ -contact manifold. As a consequence, the use of the formula (2.2) provides  $\nabla_\xi Dr = -\varphi Dr$ , i.e.,  $\nabla_\xi D\rho = \varphi Dr$ . Hence equation (3.4) takes the form

$$(\Delta\rho - 4n\rho)\xi - (2n - 1)\varphi Dr + Q\mathcal{L}_V\xi - 2n\mathcal{L}_V\xi = 0. \quad (3.5)$$

Its inner product with  $\xi$  and the use of (2.3) provides

$$\Delta\rho = 4n\rho. \quad (3.6)$$

We see from equation (3.6) and  $\rho = c - r$  that

$$-\Delta r = 4n(c - r). \quad (3.7)$$

Let us prove part (i). Using the hypothesis:  $\mathcal{L}_V r = 0$  in (2.8) with  $\rho = c - r$ , we get

$$-4n\Delta r = 2r(c - r). \quad (3.8)$$

The equations (3.7) and (3.8) imply that  $(c-r)(r-8n^2) = 0$ . So, either (i)  $r = c$  on  $M$ , or (ii)  $r \neq c$  and  $r - 8n^2 = 0$  on an open dense subset  $\mathcal{U}$  of  $M$ . Substituting the value of  $r$  from (ii) in (3.7) immediately gives  $r = c$  on  $\mathcal{U}$ , a contradiction. Hence  $r = c$  on  $M$  and  $V$  is Killing, proving part (i).

To prove part (ii), we assume the hypothesis:  $QV = 2nV$  and operate it by  $\mathcal{L}_\xi$ , and noting that  $\xi$  is Killing and hence  $\mathcal{L}_\xi Q = 0$ , we find that  $Q\mathcal{L}_\xi V = 2n\mathcal{L}_\xi V$ . On the other hand, equations (3.5) and (3.6) provide

$$(2n - 1)\varphi Dr = Q\mathcal{L}_V \xi - 2n\mathcal{L}_V \xi.$$

Combined use of these two results, and that  $\mathcal{L}_V \xi = -\mathcal{L}_\xi V$  shows that  $\varphi Dr = 0$ . Operating it by  $\varphi$  and using the last equation in (2.1) in conjunction with  $\xi r = 0$  (because  $\xi$  is Killing) we conclude that  $Dr = 0$ , i.e.,  $r$  is constant. Hence  $V$  is homothetic. But a homothetic vector field on a  $K$ -contact manifold is Killing [14], and hence  $V$  is Killing. This proves part (ii).

In order to prove part (iii), we let  $V = Df$  up to the sum of a Killing vector field. So, we can present equation (1.2) in the form

$$\nabla_Y Df = (c - r)Y. \quad (3.9)$$

This implies that

$$R(X, Y)Df = (Yr)X - (Xr)Y. \quad (3.10)$$

Substituting  $\xi$  for  $X$ , taking the inner product of (3.10) with  $\xi$ , and subsequently using the formula (2.4) we get

$$Yf - (\xi f)\eta(Y) = Yr$$

which can be expressed as

$$d(f - r) = (\xi f)\eta. \quad (3.11)$$

Applying the exterior derivation, using Poincare formula:  $d^2 = 0$ , and taking its wedge product with  $\eta$  provides  $(\xi f)\eta \wedge d\eta = 0$ . Since  $\eta \wedge d\eta$  is nowhere zero on  $M$ , by definition of the contact structure, we conclude that  $\xi f = 0$ . Hence  $Xf = Xr$ , i.e.,  $f$  differs from  $r$  by a constant. Thus, we note, in passing, that equation (3.9) is same as (3.1). Virtually following the argument used in the proof of Theorem 1.1 from equation (3.1) onwards, we conclude that  $r$  is constant. As  $f$  differs from  $r$  by a constant, and  $r$  is constant, it follows that  $f$  is constant and therefore the Yamabe soliton is trivial, completing the proof.

### Concluding Remarks.

1. In the proof of Theorem 1.2, we came across equation (3.6) which shows for a Yamabe soliton as a  $K$ -contact manifold, that the conformal scale function  $\rho = c - r$  is an eigenfunction of the Laplacian with eigenvalue  $4n$ . This implies that, if the eigenvalues of the Laplacian are different from  $4n$ , then  $r = c$ , i.e., the metric of the  $K$ -contact Yamabe soliton in dimension  $> 3$  is a Yamabe metric. This assumption

holds for the unit sphere  $S^{2n+1}$  (which is Sasakian and hence  $K$ -contact) because the spectral values of the Laplacian acting on functions on  $S^{2n+1}$  are  $k(k+2n)$  for  $k = 0, 1, 2, \dots, \infty$  (Tanno, p. 91 in [16]) and hence do not include  $4n$ .

2. Let us recall the formula  $\mathcal{L}_{[X,Y]} = \mathcal{L}_X \mathcal{L}_Y - \mathcal{L}_Y \mathcal{L}_X$  (Kobayashi-Nomizu, p. 32 in [9]). Substituting  $X = V, Y = \xi$  in it, and operating on the  $K$ -contact metric  $g$  we find  $\mathcal{L}_{[V,\xi]}g = -\mathcal{L}_\xi \mathcal{L}_V g$ , because  $\xi$  is Killing. But  $\mathcal{L}_V g = 2(c-r)g$ , and as  $\xi$  is Killing,  $\xi r = 0$ . Thus  $\mathcal{L}_\xi \mathcal{L}_V g = 0$ , and hence  $\mathcal{L}_{[V,\xi]}g = 0$ , i.e.,  $[V, \xi]$  is Killing.

3. If the Yamabe soliton vector field  $V$  on any contact metric manifold is pointwise either collinear with, or orthogonal to the Reeb vector field  $\xi$ , then by Proposition 1 of [13],  $V$  is Killing and hence  $r$  is constant, equal to  $c$ .

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