

## SOME PROPERTIES RELATED TO THE CANTOR-BENDIXSON DERIVATIVE ON A POLISH SPACE

BORYS ÁLVAREZ-SAMANIEGO AND ANDRÉS MERINO

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**Abstract.** We show a necessary and sufficient condition for any ordinal number to be a Polish space. We also prove that for each countable Polish space, there exists a countable ordinal number that is an upper bound for the first component of the Cantor-Bendixson characteristic of every compact countable subset of the aforementioned space. In addition, for any uncountable Polish space, for every countable ordinal number and for each nonzero natural number, we show the existence of a compact countable subset of this space such that its Cantor-Bendixson characteristic equals the previous pair of numbers. Finally, for every Polish space, we determine the cardinality of the partition, up to homeomorphisms, of the set of all compact countable subsets of the aforesaid space.

### 1. Introduction

First, we give some notations, definitions and basic facts that will be useful throughout this paper.

**Definition 1.1** (Metrizability space). *A topological space  $(E, \tau)$  is called metrizable if there exists a metric on  $E$  that generates  $\tau$ .*

By  $\sim$  we denote homeomorphism between topological spaces. We have that the topological space  $(E, \tau)$  is metrizable if and only if there is a metric space  $(\tilde{E}, d)$  such that  $E \sim \tilde{E}$ . In fact, if  $f: E \rightarrow \tilde{E}$  is a homeomorphism from  $E$  onto  $\tilde{E}$ , we can take the metric  $d_E$  on  $E$  given by

$$\begin{aligned} d_E: E \times E &\longrightarrow \mathbb{R} \\ (x, y) &\longmapsto d_E(x, y) = d(f(x), f(y)). \end{aligned}$$

**Definition 1.2** (Completely metrizable space). *We say that a topological space  $(E, \tau)$  is completely metrizable if there exists a metric  $d$  on  $E$  that generates  $\tau$  and  $(E, d)$  is a complete metric space.*

Furthermore, we see that the topological space  $(E, \tau)$  is completely metrizable if and only if there exists a complete metric space  $(\tilde{E}, d)$  such that  $E \sim \tilde{E}$ .

**Definition 1.3** (Polish space). *A topological space  $(E, \tau)$  is Polish if it is separable and completely metrizable.*

We use  $\mathcal{P}(D)$  and  $|D|$  to symbolize, respectively, the power set and the cardinality of the set  $D$ . If  $(Y, \tau)$  is a topological space, then  $\mathcal{K}_Y$  stands for the set of all compact

countable subsets of  $Y$ , where a countable set is either a finite set or a countably infinite set, and  $\mathcal{X}_Y := \mathcal{K}_Y / \sim$  represents the set of all the equivalence classes, up to homeomorphisms, of elements of  $\mathcal{K}_Y$ . If  $(G, d)$  is a metric space,  $x \in G$  and  $r > 0$ , we write  $B(x, r)$  to indicate the open ball centered at  $x$  with radius  $r > 0$ . The notation **OR** represents the class of all ordinal numbers. In addition,  $\omega$  denotes the set of all natural numbers and  $\omega_1$  stands for the set of all countable ordinal numbers. In this manuscript, for all  $\lambda \in \mathbf{OR}$ , we consider its usual order topology given by the next topological basis ([5, p.66])

$$\{(\beta, \gamma) : \beta, \gamma \in \mathbf{OR}, \beta < \gamma \leq \lambda\} \cup \{[0, \beta) : \beta \in \mathbf{OR}, \beta \leq \lambda\}.$$

The following result puts in evidence the relationship between Polish spaces and ordinal numbers.

**Proposition 1.1.** *Let  $\alpha$  be an ordinal number. Then,  $\alpha$  is a Polish space if and only if  $\alpha$  is countable.*

**Proof.** First, we suppose that the ordinal number  $\alpha$  is a Polish space. We assume, by contradiction, that  $\alpha$  is uncountable. Thus,  $\omega_1 \leq \alpha$ . Hence,

$$\omega_1 \subseteq \alpha.$$

Since  $\alpha$  is a Polish space, we have that  $\alpha$  is a separable space. Then,  $\omega_1$  is also separable, contradicting the fact that  $\omega_1$  is not a separable space ([10, p.114]). Therefore,  $\alpha$  is countable.

Conversely, let  $\alpha$  be a countable ordinal number. By Theorem 2.1 and Lemma 3.6 in [1], there exists a countable compact set  $K \subseteq \mathbb{R}$  such that

$$K \sim \omega^\alpha + 1.$$

Since  $K \subseteq \mathbb{R}$  is compact, we see that  $K$  is a complete metric space. Then,  $\omega^\alpha + 1$  is a completely metrizable space. Since  $\alpha \leq \omega^\alpha$ , we have that

$$\alpha = [0, \alpha[ \subseteq \omega^\alpha \subseteq \omega^\alpha + 1.$$

Thus,  $\alpha$  is an open subset of a completely metrizable space. By Theorem 1.1 in [8],  $\alpha$  is also a completely metrizable space. An alternative proof of this last result may be performed, making use of the, inductively provable, fact that  $\alpha$  embeds as a closed subset of  $\mathbb{R}$ , which is completely metrizable. Moreover, since  $\alpha$  is countable, we obtain that  $\alpha$  is separable. Therefore,  $\alpha$  is a Polish space.  $\square$

In the following section, we begin by briefly reviewing the definitions of Cantor-Bendixson's derivative and Cantor-Bendixson's characteristic. Theorem 2.1 and Propositions 2.2 and 2.3 imply that for every metrizable space  $(E, \tau)$ , the function  $\widetilde{\mathcal{CB}}_E$ , given in Remark 2.2, is well-defined and injective. Lemma 2.4 is used in the proof of Proposition 2.5, where it is demonstrated that for all countable Polish spaces, one can find a countable ordinal number that is greater than or equal to the first component of the Cantor-Bendixson characteristic of any compact countable subset of the previously mentioned space. Moreover, Proposition 2.6 and Lemma 2.7 are technical results, for  $T_1$  and  $T_2$  topological spaces, respectively, that will be used in the subsequent proofs. Proposition 2.8 is useful in the proof of Theorem 2.9, which asserts that for every nonempty perfect complete metric space, for any countable ordinal number, and for each nonzero natural number, there is

a compact countable subset of the space under consideration such that its Cantor-Bendixson characteristic is equal to the above couple of numbers. Theorem 2.9 and Lemma 2.10 imply Theorem 2.11, where it is shown that for every uncountable Polish space,  $(E, \tau)$ , for each countable ordinal number  $\alpha$ , and for all  $p \in \omega \setminus \{0\}$ , there exists  $K \in \mathcal{K}_E$  such that  $\mathcal{CB}_E(K) = (\alpha, p)$ . Lastly, Theorem 2.12 gives the principal result of this paper, where for any Polish space, we obtain the cardinality of the set of all the equivalence classes, up to homeomorphisms, of compact countable subsets of the forenamed space.

## 2. On the Cardinality of the Equivalence Classes, up to Homeomorphisms, of Compact Countable Subsets of a Polish Space

The next definition was first introduced by G. Cantor in [3].

**Definition 2.1** (Cantor-Bendixson's derivative). *Let  $C$  be a subset of a topological space. For a given ordinal number  $\delta \in \mathbf{OR}$ , we define, using Transfinite Recursion, the  $\delta$ -th derivative of  $C$ , written  $C^{(\delta)}$ , as follows:*

- $C^{(0)} = C$ ,
- $C^{(\varepsilon+1)} = (C^{(\varepsilon)})'$ , for every ordinal number  $\varepsilon$ ,
- $C^{(\lambda)} = \bigcap_{\theta < \lambda} C^{(\theta)}$ , for any limit ordinal number  $\lambda \neq 0$ ,

where  $D'$  denotes the derived set of  $D$ , i.e., the set of all limit points (or accumulation points) of the subset  $D$ .

We now give the following definition.

**Definition 2.2** (Cantor-Bendixson's characteristic). *Let  $A$  be a subset of a topological space,  $(X, \tau)$ , such that there exists an ordinal number  $\gamma \in \mathbf{OR}$  in such a way that  $A^{(\gamma)}$  is finite. We say that  $(\alpha, p) \in \mathbf{OR} \times \omega$  is the Cantor-Bendixson characteristic of  $A$  if  $\alpha$  is the smallest ordinal number such that  $A^{(\alpha)}$  is finite and  $|A^{(\alpha)}| = p$ . In this case, we write  $\mathcal{CB}_X(A) = (\alpha, p)$ .*

The next theorem (see Theorem 1.1 in [2], where its proof can also be found) was first introduced by G. Cantor in [4] for  $n$ -dimensional Euclidean spaces.

**Theorem 2.1.** *Let  $(X, \tau)$  be a Hausdorff space. For all  $K \in \mathcal{K}_X$ , there exists  $\alpha \in \omega_1$  such that  $K^{(\alpha)}$  is a finite set.*

The following comment is Remark 1.2 in [2].

**Remark 2.1.** *The last theorem implies that if  $(X, \tau)$  is a Hausdorff space and  $K \in \mathcal{K}_X$ , then  $\mathcal{CB}_X(K)$  is well-defined and, in addition,  $\mathcal{CB}_X(K) \in \omega_1 \times \omega$ .*

The following two results and their proofs can also be found in [2] (see Propositions 3.1 and 3.2 in [2]).

**Proposition 2.2.** *Let  $(X, \tau)$  be a  $T_1$  topological space. For all  $K_1, K_2 \in \mathcal{K}_X$  such that  $K_1 \sim K_2$ , we have that  $\mathcal{CB}_X(K_1) = \mathcal{CB}_X(K_2)$ .*

**Proposition 2.3.** *Let  $(E, d)$  be a metric space. For all  $K_1, K_2 \in \mathcal{K}_E$  such that  $\mathcal{CB}_E(K_1) = \mathcal{CB}_E(K_2)$ , we get  $K_1 \sim K_2$ .*

**Remark 2.2.** *By Theorem 2.1 and Propositions 2.2 and 2.3 above, we obtain that for each metrizable space  $(E, \tau)$ , we can define the following injective function*

$$\begin{aligned} \widetilde{\mathcal{CB}}_E: \mathcal{K}_E &\longrightarrow \omega_1 \times \omega \\ [K] &\longmapsto \widetilde{\mathcal{CB}}_E([K]) = \mathcal{CB}_E(K). \end{aligned}$$

**Definition 2.3** (Scattered topological space). *We say that a topological space  $(D, \tau)$  is scattered if every nonempty closed set  $A$  of  $D$  has at least one point which is isolated in  $A$ .*

The next lemma will be used in the proof of Proposition 2.5 below.

**Lemma 2.4.** *Let  $(E, d)$  be a countable complete metric space. Then, there is a countable ordinal number  $\alpha$  such that*

$$E^{(\alpha)} = \emptyset.$$

**Proof.** Since  $E$  is a countable complete metric space, we see that  $E$  is scattered ([7, p.415]). Then, there exists an ordinal number  $\alpha \leq |E|$  such that  $E^{(\alpha)} = \emptyset$  ([9, p.139]). As  $E$  is a countable set,  $\alpha \in \omega_1$ . □

**Proposition 2.5.** *Let  $(E, \tau)$  be a countable Polish space. Then, there exists a countable ordinal number  $\alpha$  such that for all  $K \in \mathcal{K}_E$ ,*

$$K^{(\alpha)} = \emptyset.$$

**Proof.** By the last lemma, there is  $\alpha \in \omega_1$  such that

$$E^{(\alpha)} = \emptyset.$$

Let  $K \in \mathcal{K}_E$ . Since  $K \subseteq E$ , we obtain

$$K^{(\alpha)} \subseteq E^{(\alpha)} = \emptyset.$$

Hence,  $K^{(\alpha)} = \emptyset$ . □

**Remark 2.3.** *As a consequence of the last proposition, we see that for every countable Polish space, there is a countable ordinal number that is an upper bound for the first component of the Cantor-Bendixson characteristic of any compact countable subset of the foregoing space.*

The next result is Lemma 2.2 in [2], where its proof is also given.

**Proposition 2.6.** *Let  $(X, \tau)$  be a  $T_1$  topological space. For all  $K$  and  $F$  closed subsets of  $X$  such that  $K \cap F = K \cap \text{int}(F)$ , where  $\text{int}(F)$  is the set of all interior points of  $F$ , and for every  $\alpha \in \mathbf{OR}$ , we have that*

$$(K \cap F)^{(\alpha)} = K^{(\alpha)} \cap F. \tag{2.1}$$

The next lemma is a known result, which is given for convenience of the reader, and it will be needed in the proof of Proposition 2.8 and Theorem 2.9 below.

**Lemma 2.7.** *Let  $(E, \tau)$  be a Hausdorff topological space and let  $n \in \omega$ . If  $F_0, \dots, F_n$  are closed subsets of  $E$ , then for every ordinal number  $\alpha \in \mathbf{OR}$ ,*

$$\left( \bigcup_{k=0}^n F_k \right)^{(\alpha)} = \bigcup_{k=0}^n F_k^{(\alpha)}.$$

**Proof.** We proceed in a similar way as in the proof of Lemma 2.1 in [1].  $\square$

The following proposition will be used in the proof of Theorem 2.9 below, and it shows some properties related to the compact countable subsets of any nonempty perfect (i.e., it coincides with its derived set) completely metrizable space.

**Proposition 2.8.** *Let  $(P, \tau)$  be a nonempty perfect completely metrizable space. For each countable ordinal number  $\alpha$ , for every  $z \in P$ , and for any  $r > 0$ , there exists a set  $K \in \mathcal{K}_P$  such that*

$$K \subseteq B(z, r) \quad \text{and} \quad K^{(\alpha)} = \{z\}.$$

**Proof.** Let  $d$  be a compatible metric on  $E$  that generates  $\tau$  and such that  $(E, d)$  is a complete metric space. We will use Transfinite Induction.

- We first examine the case  $\alpha = 0$ . For all  $z \in P$ , and for every  $r > 0$ , we see that  $K := \{z\}$  satisfies the required properties.
- Now, let  $\alpha$  be a countable ordinal number such that for any  $x \in P$ , and for all  $\epsilon > 0$ , there is a set  $\tilde{K} \in \mathcal{K}_P$  such that  $\tilde{K} \subseteq B(x, \epsilon)$  and  $\tilde{K}^{(\alpha)} = \{x\}$ . We will show that for all  $z \in P$ , and for every  $r > 0$ , there exists a set  $K \in \mathcal{K}_P$  such that  $K \subseteq B(z, r)$  and  $K^{(\alpha+1)} = \{z\}$ . In order to do this, let  $z \in P$  and  $r > 0$ . Since  $P$  is perfect, we have that  $z$  is an accumulation point of  $P$ . Then, there is a sequence  $(x_n)_{n \in \omega}$  in  $P \setminus \{z\}$  such that  $(d(x_n, z))_{n \in \omega}$  is strictly decreasing and  $d(x_n, z) \rightarrow 0$  as  $n \rightarrow +\infty$ . In addition, this last sequence can be taken in such a way that for all  $n \in \omega$ ,  $d(x_n, z) < r$ . For all  $n \in \omega$ , we take

$$r_n := d(x_n, z),$$

and

$$\epsilon_n := \frac{1}{2} \min\{r_{n-1} - r_n, r_n - r_{n+1}\} > 0,$$

where

$$r_{-1} := r.$$

Using now the induction hypothesis and the Axiom of Countable Choice, there is a family  $\{K_n \in \mathcal{K}_P : n \in \omega\}$  such that for every  $n \in \omega$ ,

$$K_n \subseteq B(x_n, \epsilon_n) \quad \text{and} \quad K_n^{(\alpha)} = \{x_n\}.$$

Furthermore, for all  $n \in \omega$ , we have that

$$K_n \subseteq B(x_n, \epsilon_n) \subseteq B(z, r_{n-1}) \quad \text{and} \quad K_n \subseteq P \setminus B(z, r_{n+1}).$$

We now define

$$K := \bigsqcup_{n \in \omega} K_n \uplus \{z\}.$$

We see that  $K$  satisfies the following properties.

- $K \subseteq B(z, r)$ , since for all  $n \in \omega$ ,  $K_n \subseteq B(z, r_{n-1}) \subseteq B(z, r)$ .
- $K$  is countable, since it is the countable union of countable sets.
- $K$  is compact. In fact, let  $\{A_i \in \tau : i \in I\}$  be an open cover of  $K$ . There is  $j \in I$  such that  $z \in A_j$ . Since  $A_j$  is open, there exists  $N \in \omega$  such that for all  $n \in \omega$ ,

$$n > N \implies K_n \subseteq B(z, r_{n-1}) \subseteq A_j.$$

On the other hand, since  $D := \biguplus_{n=0}^N K_n$  is a finite union of compact sets,

it follows that  $D$  is also a compact set. Then, we can extract a finite open subcover,  $\{A_i \in \tau : i \in J\}$ , of  $D$ . Hence,  $\{A_i \in \tau : i \in J \cup \{j\}\}$  is a finite open subcover of  $K$ .

–  $K^{(\alpha+1)} = \{z\}$ . In fact, for all  $n \in \omega$ , we consider the set

$$F_n := P \setminus B\left(z, \frac{r_n + r_{n+1}}{2}\right).$$

Claim 1: for all  $n, k \in \omega$  such that  $k \leq n$ , we have that  $K_k \subseteq F_n$ .

In fact, let  $n, k \in \omega$  be such that  $k \leq n$ . Let  $x \in K_k \subseteq B(x_k, \epsilon_k)$ . We assume, by contradiction, that  $x \in B\left(z, \frac{r_n + r_{n+1}}{2}\right)$ . Since  $k \leq n$ , we see that  $x \in B\left(z, \frac{r_k + r_{k+1}}{2}\right)$ . Thus,

$$\begin{aligned} r_k &:= d(z, x_k) \leq d(z, x) + d(x, x_k) \\ &< \frac{r_k + r_{k+1}}{2} + \epsilon_k \\ &\leq \frac{r_k + r_{k+1}}{2} + \frac{r_k - r_{k+1}}{2} = r_k, \end{aligned}$$

which is an absurd.

Claim 2: for all  $n, k \in \omega$  such that  $k > n$ , we obtain that  $K_k \cap F_n = \emptyset$ . In fact, let  $n, k \in \omega$  be such that  $k > n$ . We suppose, for the sake of a contradiction, that there exists  $x \in K_k \cap F_n$ . Thus,  $x \in K_k \subseteq B(x_k, \epsilon_k)$  and  $x \in F_n := P \setminus B\left(z, \frac{r_n + r_{n+1}}{2}\right)$ . Hence,

$$\begin{aligned} \frac{r_n + r_{n+1}}{2} &\leq d(z, x) \leq d(z, x_k) + d(x_k, x) \\ &< r_k + \epsilon_k \leq r_k + \frac{r_{k-1} - r_k}{2} \\ &= \frac{r_{k-1} + r_k}{2}, \end{aligned}$$

which contradicts the fact that  $(r_m)_{m \in \omega \cup \{-1\}}$  is a strictly decreasing sequence.

Using Claims 1 and 2, for all  $n \in \omega$ , we get

$$K \cap F_n = \biguplus_{k \in \omega} (K_k \cap F_n) \uplus (\{z\} \cap F_n) = \biguplus_{k=0}^n K_k.$$

Claim 3: for all  $n \in \omega$ ,  $K \cap F_n = K \cap \text{int}(F_n)$ .

In fact, let  $n \in \omega$ . Since  $\text{int}(F_n) \subseteq F_n$ , we see that  $K \cap \text{int}(F_n) \subseteq K \cap F_n$ .

To show the other implication, let  $x \in K \cap F_n = \biguplus_{k=0}^n K_k$ . Then, there exists  $k \in \{0, \dots, n\}$  such that  $x \in K_k$  and

$$d(x, z) \geq \frac{r_n + r_{n+1}}{2}.$$

We have that  $d(x, z) > \frac{r_n + r_{n+1}}{2}$ . Indeed, in the contrary case,

$$\begin{aligned} r_k &:= d(x_k, z) \leq d(x_k, x) + d(x, z) \\ &< \epsilon_k + \frac{r_n + r_{n+1}}{2}. \end{aligned}$$

As  $k \leq n$ , we get  $r_n \leq r_k$ . Thus,

$$\begin{aligned} r_k &< \frac{r_k - r_{k+1}}{2} + \frac{r_k + r_{n+1}}{2} \\ &= r_k - \frac{r_{k+1}}{2} + \frac{r_{n+1}}{2}. \end{aligned}$$

Therefore,

$$r_{k+1} < r_{n+1}.$$

Thus,  $k + 1 > n + 1$ , giving a contradiction. Hence,

$$\epsilon := d(x, z) - \frac{r_n + r_{n+1}}{2} > 0.$$

We assert that  $B(x, \epsilon) \subseteq F_n$ . In fact, let  $y \in B(x, \epsilon)$ . We suppose, by contradiction, that  $y \in B\left(z, \frac{r_n + r_{n+1}}{2}\right)$ . Then,

$$\begin{aligned} d(x, z) &\leq d(x, y) + d(y, z) \\ &< \epsilon + \frac{r_n + r_{n+1}}{2} \\ &= d(x, z) - \frac{r_n + r_{n+1}}{2} + \frac{r_n + r_{n+1}}{2} \\ &= d(x, z), \end{aligned}$$

which is a contradiction. Therefore,  $x \in \text{int}(F_n)$ . Thus, Claim 3 follows.

By using now Proposition 2.6 and Lemma 2.7, for all  $n \in \omega$ , we get

$$\begin{aligned} K^{(\alpha+1)} \cap F_n &= (K \cap F_n)^{(\alpha+1)} \\ &= \left( \bigcup_{k=0}^n K_k \right)^{(\alpha+1)} \\ &= \bigcup_{k=0}^n K_k^{(\alpha+1)} \\ &= \bigcup_{k=0}^n \{x_k\}' \\ &= \bigcup_{k=0}^n \emptyset \\ &= \emptyset. \end{aligned}$$

Thus, for all  $n \in \omega$ ,

$$K^{(\alpha+1)} \subseteq P \setminus F_n = B\left(z, \frac{r_n + r_{n+1}}{2}\right).$$

Hence,

$$K^{(\alpha+1)} \subseteq \bigcap_{n \in \omega} B\left(z, \frac{r_n + r_{n+1}}{2}\right) = \{z\}.$$

On the other hand, by using again Proposition 2.6 and Lemma 2.7, for all  $n \in \omega$ , we have that

$$\begin{aligned} K^{(\alpha)} \cap F_n &= (K \cap F_n)^{(\alpha)} \\ &= \left( \bigoplus_{k=0}^n K_k \right)^{(\alpha)} \\ &= \bigoplus_{k=0}^n K_k^{(\alpha)} \\ &= \bigoplus_{k=0}^n \{x_k\}. \end{aligned}$$

Then, for all  $n \in \omega$ ,  $x_n \in K^{(\alpha)}$ . Since  $(x_n)_{n \in \omega}$  converges to  $z$  as  $n \rightarrow +\infty$ , and since  $(x_n)_{n \in \omega}$  is a sequence in  $K^{(\alpha)} \setminus \{z\}$ , we see that  $z \in K^{(\alpha+1)}$ . Therefore,

$$K^{(\alpha+1)} = \{z\}.$$

- Finally, let  $\lambda \neq 0$  be a countable limit ordinal number such that for all  $\beta \in \mathbf{OR}$  with  $\beta < \lambda$ , we have that for all  $x \in P$ , and for every  $\epsilon > 0$ , there exists a set  $\tilde{K} \in \mathcal{K}_P$  such that  $\tilde{K} \subseteq B(x, \epsilon)$  and  $\tilde{K}^{(\beta)} = \{x\}$ . Next, we will show that for all  $z \in P$ , and for each  $r > 0$ , there is a set  $K \in \mathcal{K}_P$  such that

$$K \subseteq B(z, r) \quad \text{and} \quad K^{(\lambda)} = \{z\}.$$

Let  $z \in P$  and  $r > 0$ . Since  $P$  is a perfect set,  $z \in P'$ . Thus, there exists a sequence  $(x_n)_{n \in \omega}$  in  $P \setminus \{z\}$  satisfying that  $(d(x_n, z))_{n \in \omega}$  is a strictly decreasing sequence of real numbers converging to 0, and such that for all  $n \in \omega$ ,  $d(x_n, z) < r$ . On the other hand, there is a strictly increasing sequence  $(\beta_n)_{n \in \omega}$  in  $\omega_1$  such that

$$\sup\{\beta_n : n \in \omega\} = \lambda.$$

Thus, for all  $n \in \omega$ ,  $\beta_n < \lambda$ . Proceeding now in a similar fashion to the previous case, we take for all  $n \in \omega$ ,

$$r_n := d(x_n, z),$$

and

$$\epsilon_n := \frac{1}{2} \min\{r_{n-1} - r_n, r_n - r_{n+1}\} > 0,$$

with

$$r_{-1} := r.$$

Applying the hypothesis and by the Axiom of Countable Choice, there exists a family  $\{K_n \in \mathcal{K}_P : n \in \omega\}$  such that for all  $n \in \omega$ ,

$$K_n \subseteq B(x_n, \epsilon_n) \quad \text{and} \quad K_n^{(\beta_n)} = \{x_n\}.$$

Furthermore, for all  $n \in \omega$ ,

$$K_n \subseteq B(x_n, \epsilon_n) \subseteq B(z, r_{n-1}) \quad \text{and} \quad K_n \subseteq P \setminus B(z, r_{n+1}).$$

We now define

$$K := \bigoplus_{n \in \omega} K_n \uplus \{z\}.$$



Proceeding in a similar manner to the preceding case, we have that  $K$  satisfies the following properties.

- $K \subseteq B(z, r)$ .
- $K$  is countable.
- $K$  is compact.
- $K^{(\lambda)} = \{z\}$ . Actually, for all  $n \in \omega$ , we take the set

$$D_n := P \setminus B\left(z, \frac{r_n + r_{n+1}}{2}\right).$$

Proceeding similarly as in the above case, for all  $n \in \omega$ , we get

$$K \cap D_n = \bigoplus_{k=0}^n K_k \quad \text{and} \quad K \cap D_n = K \cap \text{int}(D_n).$$

By Proposition 2.6 and Lemma 2.7, for all  $n \in \omega$ , we obtain that

$$\begin{aligned} K^{(\lambda)} \cap D_n &= (K \cap D_n)^{(\lambda)} \\ &= \left( \bigoplus_{k=0}^n K_k \right)^{(\lambda)} \\ &= \bigoplus_{k=0}^n K_k^{(\lambda)} \\ &= \bigoplus_{k=0}^n \emptyset \\ &= \emptyset, \end{aligned}$$

where we have used the fact that for all  $k \in \omega$ ,

$$K_k^{(\lambda)} \subseteq K_k^{(\beta_k + 1)} = \{x_k\}' = \emptyset.$$

We note that the last inclusion is a consequence of Remark 1.1 in [2]. Then, for all  $n \in \omega$ ,

$$K^{(\lambda)} \subseteq P \setminus D_n = B\left(z, \frac{r_n + r_{n+1}}{2}\right).$$

Thus,

$$K^{(\lambda)} \subseteq \bigcap_{n \in \omega} B\left(z, \frac{r_n + r_{n+1}}{2}\right) = \{z\}.$$

In order to show the other inclusion, let  $\beta < \lambda$ . Then, there is  $N \in \omega$  such that  $\beta < \beta_N$ . Hence, for all  $n \in \{N, N+1, \dots\}$ ,  $\beta < \beta_n$ . By using again Remark 1.1 in [2], for all  $n \in \{N, N+1, \dots\}$ , we get

$$\{x_n\} = K_n^{(\beta_n)} \subseteq K_n^{(\beta)} \subseteq K^{(\beta)}.$$

Since  $(x_{N+n})_{n \in \omega}$  is a sequence in  $K^{(\beta)}$  that converges to  $z$  as  $n \rightarrow +\infty$ , and since  $K^{(\beta)}$  is a closed subset of  $P$ , we have that  $z \in K^{(\beta)}$ . So,

$$z \in \bigcap_{\beta < \lambda} K^{(\beta)} =: K^{(\lambda)}.$$

Hence,

$$K^{(\lambda)} = \{z\}.$$

Therefore, the result follows for any countable ordinal number.  $\square$

The following result will be employed in the proof of Theorem 2.11 below.

**Theorem 2.9.** *Let  $(P, d)$  be a nonempty perfect complete metric space. Then, for every countable ordinal number  $\alpha$ , and for each  $p \in \omega \setminus \{0\}$ , there exists  $K \in \mathcal{K}_P$  such that*

$$\mathcal{CB}_P(K) = (\alpha, p).$$

**Proof.** Let  $\alpha$  be a countable ordinal number and let  $p \in \omega \setminus \{0\}$ . We take  $x \in P = P' \neq \emptyset$ . Since  $x$  is an accumulation point of  $P$ , there is an infinite number of elements of  $P$  inside the open ball  $B(x, 1)$ . Therefore,  $P$  is an infinite set. Thus,  $P$  contains a subset

$$A := \{x_k \in P : k \in \{0, \dots, p-1\}\},$$

with  $p$  elements, where for all  $i, j \in \{0, \dots, p-1\}$  such that  $i \neq j$ ,  $x_i \neq x_j$ . We now define

$$r := \frac{1}{2} \min\{d(x_i, x_j) : i, j \in \{0, \dots, p-1\}, i \neq j\} > 0.$$

By Proposition 2.8, for every  $k \in \{0, \dots, p-1\}$ , there is  $K_k \in \mathcal{K}_P$  such that

$$K_k \subseteq B(x_k, r) \quad \text{and} \quad K_k^{(\alpha)} = \{x_k\}.$$

We now take the set

$$K := \bigoplus_{k=0}^{p-1} K_k.$$

$K$  satisfies the following properties:

- $K$  is countable, since it is the finite union of countable sets.
- As  $K$  is the finite union of compact sets,  $K$  is compact.
- $K^{(\alpha)} = A$ . In fact, by using Lemma 2.7, we see that

$$K^{(\alpha)} = \bigoplus_{k=0}^{p-1} K_k^{(\alpha)} = \bigoplus_{k=0}^{p-1} \{x_k\} =: A.$$

Thus,  $|K^{(\alpha)}| = |A| = p$ .

Hence,  $K \in \mathcal{K}_P$  and  $\mathcal{CB}_P(K) = (\alpha, p)$ .  $\square$

The next lemma will be used in the proof of Theorem 2.11 below.

**Lemma 2.10.** *Let  $(E, \tau)$  be an uncountable separable metrizable space. There exists a nonempty perfect set  $P \subseteq E$ .*

**Proof.** Let  $P$  be the set of all *condensation points* of  $E$ , i.e., the points such that every open neighborhood of them contains uncountably many elements of  $E$ . Since  $E$  is a separable metrizable space, we see that  $E$  is second countable. Moreover, since  $|E| > \aleph_0$  and  $E$  is a second countable topological space, it follows that  $P$  is nonempty ([5, p.180]). Furthermore, using the fact that  $E$  is a metrizable space, we have that  $P' = P$  ([6, p.252]). Hence,  $P$  is a nonempty perfect set.  $\square$

The next theorem generalizes Corollary 2.1 in [1], which is valid on the real line, and it will be used in the proof of Theorem 2.12, below, that is the main result of this paper.

**Theorem 2.11.** *Let  $(E, \tau)$  be an uncountable Polish space. Then, for any countable ordinal number  $\alpha$ , and for every  $p \in \omega \setminus \{0\}$ , there exists  $K \in \mathcal{K}_E$  such that*

$$\mathcal{CB}_E(K) = (\alpha, p).$$

**Proof.** Let  $\alpha$  be a countable ordinal number and let  $p \in \omega$  be such that  $p \neq 0$ . By the previous lemma, there exists  $P \subseteq E$  such that  $P$  is a nonempty perfect set. Thus, by Theorem 2.9, there exists a compact countable set  $K \subseteq P$  such that  $\mathcal{CB}_E(K) = (\alpha, p)$ . Since  $K$  is a compact countable subset of  $P \subseteq E$ , we have that  $K \in \mathcal{K}_E$ . This completes the proof.  $\square$

Summarizing what we have proved so far, in this section, we obtain the following theorem that completely determines the cardinality of all the equivalence classes, up to homeomorphisms, of elements of the set of all compact countable subsets of a Polish space, according to the cardinality of this space.

**Theorem 2.12.** *Let  $(E, \tau)$  be a Polish space.*

(i) *If  $E$  is finite, then*

$$|\mathcal{K}_E| = |E| + 1.$$

(ii) *If  $E$  is countably infinite, then*

$$|\mathcal{K}_E| = |E| = \aleph_0.$$

(iii) *If  $E$  is uncountable, then*

$$|\mathcal{K}_E| = \aleph_1.$$

**Proof.** Let  $(E, \tau)$  be a Polish space and let  $d$  be a compatible Polish metric on  $E$  that generates  $\tau$  and such that  $(E, d)$  is a complete metric space. We consider the following cases, taking into account the cardinality of the set  $E$ .

(i) Let  $E$  be a finite set such that  $|E| = n \in \omega$ . Every subset of  $E$  is also a finite set and hence, it is a compact set. Then,  $\mathcal{K}_E = \mathcal{P}(E)$ . Moreover, for all  $K \in \mathcal{K}_E$ ,  $\mathcal{CB}_E(K) = (0, |K|)$ . Thus,

$$|\mathcal{K}_E| \leq n + 1.$$

On the other hand, since for all  $m \in \{0, \dots, n\}$ , there is  $F_m \subseteq E$  such that  $|F_m| = m$ , it follows that  $|\mathcal{K}_E| = n + 1$ . Therefore,

$$|\mathcal{K}_E| = |E| + 1.$$

(ii) We now consider the case when  $E$  is a countable infinite set, i.e.,  $|E| = \aleph_0$ . By Proposition 2.5, there is a countable ordinal number  $\alpha$  such that for all  $K \in \mathcal{K}_E$ ,  $K^{(\alpha)} = \emptyset$ . Thus, for every  $K \in \mathcal{K}_E$ , if  $\mathcal{CB}_E(K) = (\beta, p)$ , then  $\beta < \alpha + 1$ . Hence,

$$\widetilde{\mathcal{CB}}_E(\mathcal{K}_E) \subseteq (\alpha + 1) \times \omega.$$

Consequently,

$$|\mathcal{K}_E| \leq |(\alpha + 1) \times \omega| = |\alpha + 1| |\omega| = |\omega| |\omega| = \aleph_0.$$

On the other hand, since every finite subset of  $E$  is a compact set and since for every natural number, there is at least a subset of  $E$  with cardinality equals the previous natural number, we have that  $|\mathcal{K}_E| \geq \aleph_0$ . Therefore,  $|\mathcal{K}_E| = \aleph_0$ , i.e.,

$$|\mathcal{K}_E| = |E| = \aleph_0.$$

- (iii) We suppose now that  $E$  is uncountable. By Theorem 3.3 in [2], we see that  $|\mathcal{K}_E| \leq \aleph_1$ . On the other hand, by Theorem 2.11, one obtains that for all  $\alpha \in \omega_1$  and for every  $p \in \omega \setminus \{0\}$ , there exists  $F \in \mathcal{K}_E$  such that  $\mathcal{CB}_E(F) = (\alpha, p)$ . Then,

$$\omega_1 \times (\omega \setminus \{0\}) \subseteq \widetilde{\mathcal{CB}}_E(\mathcal{K}_E).$$

Thus,

$$|\mathcal{K}_E| \geq |\omega_1 \times (\omega \setminus \{0\})| = |\omega_1| = \aleph_1.$$

As a consequence,

$$|\mathcal{K}_E| = \aleph_1.$$

This completes the proof of the theorem.  $\square$

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Borys Álvarez-Samaniego  
 Núcleo de Investigadores Científicos  
 Facultad de Ciencias  
 Universidad Central del Ecuador (UCE)  
 Quito, Ecuador  
 balvarez@uce.edu.ec  
 borys\_yamil@yahoo.com

Andrés Merino  
 Escuela de Ciencias Físicas y Matemática  
 Facultad de Ciencias Exactas y Naturales  
 Pontificia Universidad Católica del  
 Ecuador (PUCE)  
 Quito, Ecuador  
 aemerinot@puce.edu.ec